

Self Invested Personal Pension

SIPP

Adviser Agreement 

Appointing a financial adviser and payment of their fees

Adviser agreement

You should complete this form if you wish to appoint or replace a financial adviser. You can also use this form to confirm the level of fees you wish us to pay a new or existing financial adviser.

If the adviser below is different to your previous adviser, then we will record this as a change of adviser.

To be completed by the SIPP customer

The following financial advice firm provides me with advice relating to my SIPP and the investments within it:

(the advice firm)

The section below should only be completed if you wish for us to pay your FCA regulated financial adviser a fee from your SIPP funds. Do not complete this section if you are paying your adviser directly, or if your adviser is being paid from the underlying investments e.g. from the investment managers.

Any fees detailed below will be deducted from the balance of your SIPP bank account.

One-off advice fee:

either

%

percentage in words

of the initial investment (made up of transfers in and contributions after InvestAcc have claimed any tax relief for my SIPP).

or an amount of

£

amount in words

A regular ongoing fee (this will replace any existing instruction):

either

% per annum

percentage in words

of the net asset value of the investments in respect of which this agreement applies each year.

or an amount of

£

every year/month*

amount in words

*Delete as appropriate

Should VAT be added to the amounts above?

Yes No

You authorise InvestAcc to pay the above adviser fees from your SIPP deposit account on the following basis (please tick **ONE** option):

BY INVOICE

Fees will be paid upon receipt of an invoice on your financial adviser's headed paper stating a monetary amount. The invoice is to be addressed to your SIPP followed by the member(s) name(s). Any number of invoices can be submitted during a plan year, so long as the total yearly fee shown above for ongoing advice is not exceeded in any plan year.

AUTOMATIC FEE PAYMENT

InvestAcc will pay the above named adviser's firm without the need for an invoice for any one-off fee above, and then in future ONCE PER YEAR (for ongoing fees which are either percentage based or fixed monetary amounts) on or around the plan anniversary date or MONTHLY (for ongoing fees which are fixed monetary amounts).

If there is a shortfall in the amount in your SIPP bank account, please state your disinvestment preferences for fee payments.

--

Where further investments or transfers are received after the SIPP has commenced, the same terms will apply.

I confirm my agreement to these adviser fees and hereby authorise and request that the scheme administrator, InvestAcc Pension Administration Limited, pays them on my behalf from the funds held in my SIPP, until otherwise instructed.

These instructions amend and replace any existing remuneration arrangements, which would otherwise apply to the SIPP.

I understand InvestAcc Pension Administration Limited will only pay these charges if my financial adviser is authorised by the Financial Conduct Authority. If for any reason a payment is made to the financial adviser which it is not entitled to receive, the financial adviser must immediately return the payment to the SIPP.

Full name of member	SIPP member number
Member's signature	Date

To be completed by your financial adviser

InvestAcc Pension Administration Limited payment terms are 10 working days from receipt of an invoice or payment instruction.

Company name	
FCA number (principal firm)	FCA number (appointed representative)
Individual adviser name	FCA individual authorisation number (if applicable)
Address	
	Postcode
Telephone number	Fax number
Email address	

By submitting this application form to us, the financial adviser firm above confirms acceptance of our intermediary terms of business, available on our website: www.investaccpensions.co.uk/termsofbusiness

Financial adviser payment details (to be completed by your financial adviser)

Please make financial adviser fee payments by electronic bank transfer to the following account until further notice. In the case of appointed representative firms, this account must be for the principal firm unless we are given written confirmation that the principal firm authorises us to make payment direct to an appointed representative. If no details are given below, we will use account details previously advised to us, or we will issue fee payments by cheque.

Bank name	
Bank address	
	Postcode
Account name	
Account number	Sort code
Payment reference (e.g. your client or agency reference)	

Adviser's signature	Date

Note: Adviser fee payments cannot be made until the expiration of any applicable cancellation rights or 'cooling off' period. Payments of adviser fees will be made under the reference number you have chosen above, please choose a reference which allows your firm to identify receipt.

Our SIPPs are offered without advice of any kind. A SIPP may not be suitable for all investors. If in doubt you should consult an authorised financial adviser. InvestAcc Pension Administration Limited is the operator and scheme administrator and is responsible for the maintenance and running of the scheme.

InvestAcc Pension Trustees Limited is the scheme trustee.

The levels of and bases of taxation can change. The value to an investor of any tax benefits will depend on that investor's tax position. Investors should consult their own tax advisers in order to understand any applicable tax consequence.

InvestAcc Pension Administration Limited is authorised and regulated by the Financial Conduct Authority.

InvestAcc Pension Administration Limited is registered in England and Wales, Company number 7118349.

InvestAcc Pension Trustees Limited is registered in England and Wales, Company number 2875892.



Minerva House
Port Road Business Park
Carlisle
Cumbria
CA2 7AF
t: 01228 538 988
f: 01228 535 988
e: sales@investacc.co.uk

www.investaccpensions.co.uk



Best Pension Service



Best SSAS Provider



Best SIPP Provider



Service Beyond The Call of Duty

